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Assessment is applicable to
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professionals (or "Covered
Persons") who are in a

client-facing role and

provide financial advisory
services to high net worth

individuals. For more

information, please refer to

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Standards CACS Paper 2
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Client Advisor Competency
Standards (CACS) Assessment.
The CACS Assessment is
applicable to private
banking professionals (or
"Covered Persons") who are
in a client-facing role and
provide financial advisory
services to high net worth
individuals. For more
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the Association of Banks of
Singapore (ABS) for a copy
of the Private Banking Code
of Conduct and the FAQ s o n

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~~CACS Overview | The Institute of Banking and Finance~~

As part of the annual CACS CPD requirement, Covered Persons are expected to achieve a minimum of 15 CACS CPD hours, of which a minimum of 8 hours should be in training that is accredited under the IBF Standards Training Scheme ("IBF-STIS") in Private Banking and Wealth Management segment or Generic Skills and Competencies and a minimum of 4 hours should be in training related to Rules & Regulations, Compliance or

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The PB Code sets out standards of good practice on competency and market conduct expected of private banks. Under the PB Code, private banking professionals (or “Covered Persons”) are expected to pass a common competency assessment called the Client Advisor Competency Standards (CACS) before they provide any financial advice. The CACS took effect from 1 September 2011.

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~~Standards (CACS) - Khinloke~~

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Standards (CACS) Study

Guide: Wealth management
industry and product
knowledge. Paper 2.

Institute of Banking &
Finance, 2011 - Investment
advisors - 274 pages. 0

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Markets and Financial
Advisory Services (CMFAS)
Financial Markets Regulatory
Practices (FMRP) Specialist
in CACS, CMFAS & FMRP. We
provide the industry's best
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Mock Examination Questions
for the CMFAS, CACS and FMRP
module examinations
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CACS Paper 1 Trial Questions
consist of trial questions
based on the Client Advisor
Competency Standards
Examination. This Trial
Question Mock Exam consists
of 21 questions based on the
IBF's Client Advisor
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Competence 1 (Deck)

Competence 1 (Deck)

Competence 4 (Deck)

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legislations, regulations
and industry codes of
practice. Paper 1 Paper 1
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Knowledge. The CACS Series
is for persons who wish to
work in the Wealth or
Private Banking industry.
New Syllabus (commenced 1
Feb 2019) - Portfolio
Management Process -
Macroeconomic Analysis

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Section B: Competency
Assessment- Client Advisor
Competency Standards (CACS)
(B1) Who the CACS applies to
6-15 5 (B2) Validity of the

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CACS 16-18 7 (B3) Other 2
related administrative
matters 19-37 7 Section C:
Continuing Professional
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obligations 38-42 11 (C2)
Attaining IBF-certification
43-45 11

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management legislations,
regulations and industry
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[Alexander Tan; Francis Koh;
Institute of Banking &
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CACS Paper 2 Set B (Client Advisor Competency Standards) This is the 1 out of 3 sets of CACS Paper 2 Mock Exam Questions. This paper is to be done in 2 hours as per actual IBF CACS Paper 2 Exam. This set of CACS Paper 2 consist of 100 questions inclusive of case studies similar to the format of CACS Paper 2 and covers the following topics:

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based on the IBF's Client
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Paper 2 book. Our CACS Paper
2 Mock Exam Questions
consist of 80 questions
inclusive of case study
similar to the format of
CACS Paper 2 and covers the
following topics: Paper 2 :
Industry & Product Knowledge
- Investment Concepts- Risk
and Return - Portfolio
Management- Fixed Income and
Equity Securities- Options,
Futures, Foreign Exchange
and Swaps Contracts-
Structured Products- Unit
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~~CACS Paper 1 Set C (Client
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If the assistant relationship manager (ARM) concerned meets the definition of “overed Person” under the P ode, the ARM would need to pass the competency assessment - Client Advisor Competency Standards (CACS) before he can provide financial advisory services to his clients.

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